

PTO/SB/21 (01-08)

Approved for use through 01/31/2008. OMB 0851-0031


U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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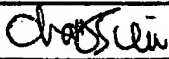
<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10776883	<b>RECEIVED</b>  <b>JAN 25 2008</b>
	Filing Date	2004-02-09	
	First Named Inventor	Robert HORMANN	<b>OFFICE OF PETITIONS</b>
	Art Unit	1821	
	Examiner Name	Brian J. Davis	
Total Number of Pages in This Submission	5	Attorney Docket Number	A9536

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement of Notification of Loss of Entitlement to Small Entity Status
Remarks The USPTO is hereby directed and authorized to charge the fees indicated, any additional fees due, and credit any overpayments to Deposit Account No. 502860.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Intrexon Corporation		
Signature			
Printed name	Chang-Su Lim		
Date	2008-01-18	Reg. No.	60,094

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Chang-Su Lim	Date	2008-01-18

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-07)

Approved for use through 08/30/2010, OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**  
**For FY 2008**☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,210.00

**Complete if Known**

Application Number 10775883

Filing Date 2004-02-09

First Named Inventor Robert HORMANN

Examiner Name Brian J. Davis

Art Unit 1621

Attorney Docket No. A9536

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**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 502860 Deposit Account Name: Intrexon Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

210 105

Multiple dependent claims

370 185

Total Claims Extra Claims Fee (\$)

Multiple Dependent Claims

- 20 or HP = x =

Fee (\$)

Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 = (round up to a whole number) x = Fee Paid (\$)

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Deficiency payments re: entity status revision statement (attached)

1,210.00

**SUBMITTED BY**

Signature	<i>Chang-Su Lin</i>	Registration No. (Attorney/Agent)	60,094	Telephone	540-808-2759
Name (Print/Type)	Chang-Su Lin	Date	2008-01-18		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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USPTO  
ACCOUNTING  
1/18/08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 2008 JAN 22 PM 4:36

Application No : 10/775,883  
 Applicant : Hormann, et. al.  
 Filed : February 9, 2004  
 Art Unit : 1621  
 Examiner : Brian J. Davis  
 Confirmation No : 1883  
 Docket No : A9536  
 Customer No : 60394

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Mail Stop Patent Application  
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**STATEMENT OF NOTIFICATION OF LOSS OF ENTITLEMENT  
 TO SMALL ENTITY STATUS**

Sir:

Applicant hereby notifies the United States Patent and Trademark Office of the loss of entitlement to small entity status in the above referenced patent application pursuant to 37 C.F.R. § 1.27(g)(2).

At the time of the initial filing of the application, Applicant asserted small entity status in good faith and fees as a small entity were paid in good faith. Applicant has since discovered that the rights in this application were licensed on or about 29 March 2004 to an organization that does not qualify to small entity status.

Pursuant to 37 C.F.R. § 1.28(c), Applicant respectfully submits an itemization of the deficiencies owed for each previous fee erroneously paid at the small entity rate as follows on the accompanying pages:

01/24/2008 DALLEN 00000015 502860 10775883

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Application No : 10/775,883  
Applicant : Hormann, et. al.  
Filed : February 9, 2004  
Art Unit : 1621  
Examiner : Brian J. Davis  
Confirmation No : 1883  
Docket No : A9536  
Customer No : 60394

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ITEMIZATION OF DEFICIENCY PAYMENTS

The USPTO is hereby directed and authorized to charge the total deficiency owed in the amount of \$1,210.00 to Deposit Account No. 502860. Please charge any additional fees due or credit any overpayment to said Deposit Account No. 502860.

Type of Fee	Current Fee Due (Large Entity)	Small Entity Fee Actually Paid	Date	Deficiency Amount Owed
Petition for revival	\$1,500.00	\$750.00	03/08/2005	\$750.00
Late oath surcharge	\$130.00	\$65.00	03/08/2005	\$65.00
RCE filing	\$790.00	\$395.00	05/25/2007	\$395.00

Total Deficiency Payment Owed: \$1,210.00

Application No : 10/775,883  
Applicant : Hormann, et. al.  
Filed : February 9, 2004  
Art Unit : 1621  
Examiner : Brian J. Davis  
Confirmation No : 1883  
Docket No : A9536  
Customer No : 60394

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JAN 25 2008

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**REMARKS**

Applicant respectfully requests that the entity status be revised to large and any further fees due in this application be charged as large entity.

Respectfully submitted,



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Chang-Su Lim  
Registration No 60,094

SUGHRUE MION PLLC  
Telephone: (540) 961-0725  
Fax: (540) 961-1765  
**60394**  
Customer Number

Date: January 18, 2008